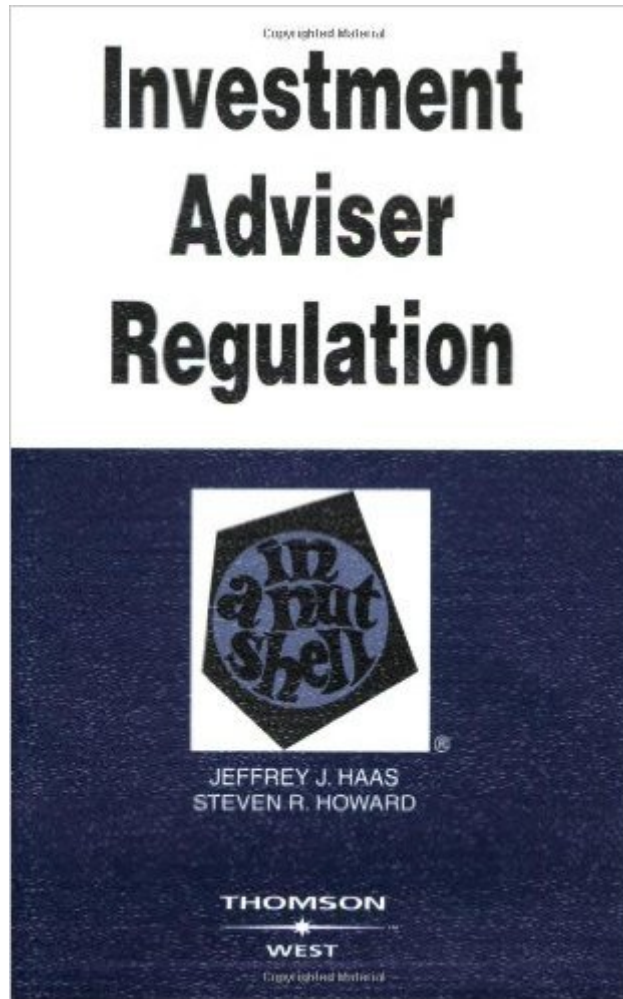


The book was found

Investment Adviser Regulation In A Nutshell



Synopsis

This book is designed for those interested in learning the fundamentals of investment adviser regulation as set forth primarily in the Investment Advisers Act of 1940 and the rules and regulations promulgated by the Securities and Exchange Commission thereunder. In particular, it is targeted towards investment advisers and their chief compliance officers. However, those associated with mutual funds, hedge funds, private equity funds and collateralized debt obligation (CDO) funds will likely find it useful as well.

Book Information

Series: Nutshell

Paperback: 618 pages

Publisher: West Academic Publishing; 1 edition (January 8, 2008)

Language: English

ISBN-10: 0314172653

ISBN-13: 978-0314172655

Product Dimensions: 1 x 5 x 7.5 inches

Shipping Weight: 1 pounds (View shipping rates and policies)

Average Customer Review: 4.5 out of 5 starsÂ Â See all reviewsÂ (2 customer reviews)

Best Sellers Rank: #543,811 in Books (See Top 100 in Books) #27 inÂ Books > Law > Business > Banking #61 inÂ Books > Law > Business > Securities #4228 inÂ Books > Textbooks > Law

Customer Reviews

Handy little book purchased because finding legible text on the 1940 Advisers Act is neigh on impossible. However, Financial Reform Act will change a bit of it.

Find this to be useful as a tool for RIAs to identify and prioritize areas of compliance and regulatory risk.

[Download to continue reading...](#)

Investment Adviser Regulation in a Nutshell The Core: Practical Advisers Act Compliance for the Private Fund Adviser (Volume 1) Investment Management Regulation, Fourth Edition Cyclic Nucleotide Phosphodiesterases: Structure, Regulation and Drug Action (Wiley Series in Molecular Pharmacology of Cell Regulation) Securities Regulation in a Nutshell (Nutshells) Broker-Dealer Regulation in a Nutshell Python in a Nutshell, Second Edition (In a Nutshell) UNIX in a Nutshell:

System V Edition: A Desktop Quick Reference for System V Release 4 and Solaris 2.0 (In a Nutshell (O'Reilly)) UML 2.0 in a Nutshell (In a Nutshell (O'Reilly)) VBScript in a Nutshell (In a Nutshell (O'Reilly)) Government Contracts in a Nutshell (Nutshell Series) Regulated Industries in a Nutshell (Nutshell Series) Government Contracts In A Nutshell (In a Nutshell (West Publishing)) Accounting and Finance for Lawyers in a Nutshell, 4th Edition (In a Nutshell (West Publishing)) The Law of Corporations in a Nutshell, 6th (Nutshell Series) Employment Law in a Nutshell, Third Edition (West Nutshell) Oil and Gas Law in a Nutshell, (Nutshell Series) 5th (fifth) edition Criminal Law in a Nutshell (Nutshell Series) Children and the Law: In a Nutshell (Nutshell Series) Elder Law in a Nutshell, 5th (Nutshell Series)

[Dmca](#)